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AS
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CARMEN FLOSHBACH ET AL.

Serial No: 09/932,124

Filed: August 17, 2001

For: COATING AGENTS AND A PROCESS
FOR THE PREPARATION OF MULTI-
LAYER COATINGS

Case No.: FA1013 US NA

Art Unit: 1712

Examiner: Patricia A. Short

Box: DD

Assistant Commissioner for Patents
Washington, D.C. 20231

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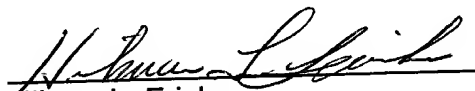
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE
OF ALLOWANCE (37 CFR § 1.97(C))

Sir:

1. The Information Disclosure Statement transmitted herewith is being filed **after** three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever occurred last, but **before** the mailing date of the earliest occurrence of either:
 - (1) a final action under § 1.113 or
 - (2) a notice of allowance under § 1.311.
2. Applicant elects to pay the fee as specified in 37 CFR § 1.17(p) for submission of an information disclosure statement under 37 CFR § 1.97(c). (\$180.00).
3. Authorization is hereby provided to charge the amount of \$180.00, to Deposit Account No. 04-1928 (E. I. duPont de Nemours and Company).

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928 (E. I. duPont de Nemours and Company). A duplicate copy of this Petition is enclosed.

Respectfully submitted,



Hilmar L. Fricke

Attorney for Applicant(s)

Reg. No. 22,384

Telephone: (302) 984-6058

Facsimile: (302) 658-1192

Date: April 17, 2003



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INFORMATION DISCLOSURE STATEMENT

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each of these documents is provided: U.S. Patent 4,880,891 (Miyabayashi et al.), and EP 0 333 454.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

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It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered. A copy of the International Search Report accompanies this Information Disclosure Statement.

The Commissioner is hereby authorized to charge \$180.00 for the filing of this Information Disclosure Statement and any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928 (E.I. duPont de Nemours and Company). A duplicate copy of this pleading is enclosed.

Respectfully submitted,



Hilmar L. Fricke
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Date: April 17, 2003